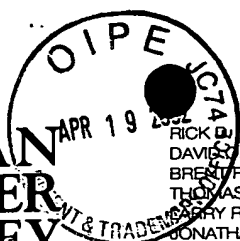


**WORKMAN
NYDEGGER
& SEELEY**

ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FACSIMILE (801) 328-1707



RICK D. NYDEGGER
DAVID J. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
GREGORY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
GREGORY M. TAYLOR
DANA L. TANGREN
ERIC L. MASCHOFF
CHARLES J. VEVERKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE†
DAVID B. DELLENBACH
R. BURNS ISRAELSEN
DAVID R. TODD
L. DAVID GRIFFIN

JESÚS JUANÓS I TIMONEDA, Ph.D.
ADRIAN J. LEE
FRASER D. ROY
CARL T. REED
R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
L. REX SEARS, Ph.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
WILLIAM R. RICHTER
TRENT H. BAKER
RYAN D. BENSON
SARA D. JONES
TIGHE KELLER

† ADMITTED ONLY IN CALIFORNIA

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MAILING ADDRESS:
P.O. BOX 45862
SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>
GENERAL E-MAIL: info@wnspatent.com

EXPRESS MAIL LABEL NO. EL813858899US

PATENT APPLICATION
Docket No. 7678.585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Bruce S. McLean and Vasiliiy Nosov

Serial No. 10/044,346

Confirmation No. 9698

Filed: January 11, 2002

For: OPTICAL LENS USED TO FOCUS LED LIGHT

Examiner: Not Yet Assigned

Art Unit 2872
RECEIVED
APR 24 2002
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL FOR PRELIMINARY AMENDMENT "A"
AND INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an amendment for entry in the above-identified application.

The fee has been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDT'L FEE	RATE	ADDT'L FEE
TOTAL 30	20	10	X9	0	X18	\$180.00
INDEPENDENT 3	3	0	X39	0	X78	0
1 st PRESENTATION OF MULTIPLE DEPENDENT CLAIM			+130=	0	+260=	0
			TOTAL		TOTAL	\$180.00

X Form PTO-2038 in the amount of \$180.00 is enclosed to cover the additional claim fee.

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

X Form PTO-1449 listing thirty-eight (38) references submitted for consideration.

X Copies of the thirty-eight (38) references listed on the Form PTO-1449.

The Information Disclosure Statement is being filed prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no fee is due.

The Commissioner is hereby authorized to charge payment or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this sheet is enclosed.

Dated this 19th day of April 2002.

Respectfully submitted,



022913

PATENT TRADEMARK OFFICE

John M. Guynn
Attorney for Applicants
Registration No. 36,153

EXPRESS MAIL LABEL NO. EL813858899US

PATENT APPLICATION
Docket No. 7678.585



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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

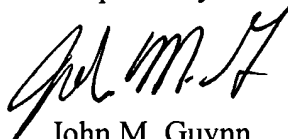
In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

This Information Disclosure Statement is being submitted prior to the mailing of the first Office Action, therefore no fee is due. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 19th day of April 2002.

Respectfully submitted,



John M. Guynn
Attorney for Applicants
Registration No. 36,153



022913

PATENT TRADEMARK OFFICE

JMG:cm